

**FACSIMILE COVER SHEET**

Date: July 22, 2002  
To: John Dill c/o  
United States Patent and Trademark Office  
Fax No: 703-746-6606  
From: Joe Hetz  
Tel. No: 312-321-4719  
Client No: 8285

No. of Pages  
(inc. this page): 15

Confirmation Copy To Follow: Yes ☐ No ☒

IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,  
PLEASE CALL 312-321-4200 AND ASK FOR: Joe Hetz

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**COVER MESSAGE:**

Re: Application No. 10/038,048

**BRINKS  
HOFFER  
GILSON  
& LIONE**

A Professional Corporation  
Intellectual Property Attorneys

NBC Tower - Suite 3600  
455 N. Cityfront Plaza Drive  
Chicago, Illinois 60611-5599  
Facsimile 312-321-4289  
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San Jose, CA  
Indianapolis, IN  
Ann Arbor, MI  
Arlington, VA

TRANSMITTAL LETTER			Case No. 8285/470
Serial No. 10/038,048	Filing Date December 20, 2001	Examiner Not Yet Assigned	Group Art Unit 2131
Inventor(s) THOMAS LEE ADAMS			
Title of Invention METHOD AND SYSTEM FOR CALLING LINE AUTHENTICATED KEY DISTRIBUTION			

## TO THE COMMISSIONER FOR PATENTS

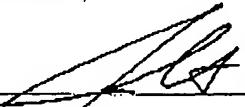
Transmitted herewith is Notice to File Missing Parts of Nonprovisional Application (1 page); Response to Notice to File Missing Parts of Nonprovisional Application (2 pages in duplicate); Fully executed Patent Application Declaration Combined with Power of Attorney (3 pages); Assignment (2 pages); Assignment Recordal Cover Sheet (1 page) Check- \$40.00 for Assignment Recordation Fee; Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 1 Other Art Reference; Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ The fee has been calculated as shown below:

					Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9 =		x \$18 =	\$
Indep.		Minus			x 42 =		x \$84 =	
First Presentation of Multiple Dep. Claim					+ \$140 =		+ \$280 =	
					Total add'l fee	\$	total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,254.00 to cover the filing fee of \$740.00, the surcharge fee of \$130.00 and the additional claims fee of \$384.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
 Joseph F. Hetz  
 Registration No. 41,070  
 Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610  
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 19, 2002.

Date: March 10, 2002

Signature:

rev. Jan-97

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I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on March 19, 2002

Date of Deposit

Joseph F. Hetz - Reg. No. 41,070

Name of applicant, assignee or  
Registered Representative

Signature

Date of Signature

Case No. 8285/470

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

THOMAS LEE ADAMS

Serial No.: 10/038,048

Examiner: Not Yet Assigned

Filed: December 20, 2001

Group Art Unit: 2131

For: METHOD AND SYSTEM FOR  
CALLING LINE AUTHENTICATED  
KEY DISTRIBUTION

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated February 4, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:


- ☒ Fully executed Patent Application Declaration combined with Power of Attorney (3 pages).



- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$1,254.00 for:
- ☒ Filing Fee of \$740.00.
- ☒ Surcharge of \$130.00.
- ☒ Additional Claim Fees of \$384.00.
- ☒ 1 Check totaling \$40.00 for:
- ☒ Assignment Recordation Fee of \$40.00.
- ☒ Other: Assignment (2 pages); Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 1 Other Art Reference; Postcard Receipt.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: March 19, 2002

  
\_\_\_\_\_  
Joseph E. Hetz  
Registration No. 41,070  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
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rev. Oct.-01  
C:\Joe\Anteritech\PTO Filings\8285-453 Miss Parts Response.doc

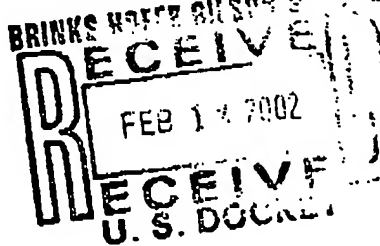


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,048	12/20/2001	Thomas Lee Adams	8285/470 (T00364)

BRINKS HOFER GILSON & LIONE  
SUITE 3600  
455 N. CITYFRONT PLAZA DR.  
CHICAGO, IL 60611



CONFIRMATION NO. 5776

FORMALITIES LETTER



Date Mailed: 02/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$384.
  - \$216 for 12 total claims over 20.
  - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1254.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

